

EMKAY GLOBAL FINANCIAL SERVICES LIMITED

Format of Holding of Specified Securities

For the Quarter ended 30th June, 2017

ANNEXURE - I

1	Name of the Listed Entity	Emkay Global Financial Services Limited
2	Scrip Code/Name of the Scripe/Class of Security	532737/ EMKAY/ Equity Shares
3	Share Holding Pattern Filed under: Reg. 31(1) (a)/Reg.31(1) (b) /Reg.31(1) (c)	for the Quarter ended on 30th June, 2017
4	Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-	

PARTICULAR

1	Whether the Listed Entity has issued any partly paid up shares?	No
2	Whether the Listed entity has issued any convertible Securities or Warrant?	No
3	Whether the Listed Entity has any shares against which depository receipts are issued?	No
4	Whether the Listed Entity has any shares in locked-in?	No
5	Whether any shares held by promoters are pledge or otherwise encumbered?	No

- 5 The Tabular Format for disclosure of holding of specified securities is as follows:-





EMKAY GLOBAL FINANCIAL SERVICES LIMITED

For the Quarter ended 30th June, 2017

Table I --Summary Statement Holding of Specified Securities--EQUITY SHARES

Category	Category of Shareholder	Nos of Shareholders	No of fully paid up equity shares held	No of partly paid up equity shares held	No. of shares underlying deposit receipts	Total nos. shares held	Shareholding as a % of total no of shares (calculated as per SCRR, 1957 as a % of (A+B+C2))	Number of voting rights held in each class of securities - Equity Shares				No to shares underlying outstanding convertible securities (including securities)	Shareholding as a % assuming full conversion of convertible securities (as a % of dilutes share capital)	Number of locked in shares		Number of shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form
								IX	X	XI	XII			XIII	XIV			
																Class SEG X	Class Seg Y	
I	II	III	IV	V	VI	VII	VIII	No of Voting Rights				X	XI	No	As a % of total shares held	No	As a % of total shares	
A	Promoter & Promoter Group																	
		7	17897500	0	0	17897500	73.19	17897500	0	17897500	73.19	0		0	0	0	0	17897500
B	Public		6281770	0	0	6281770	25.69	6281770		6281770	25.69	0		0	0	NA	NA	6267055
C	Non Promoter- Non Public																	
		1	273880	0	0	273880	1.12	273880	0	273880	1.12	0		0	0	N.A	N.A.	273880
C1	Shares Underlying DRs																	
		0	0	0	0	0	0	0		0	0	0		0	0	NA	NA	0
C2	Share held by Employee Trusts																	
		1	273880	0	0	273880	1.12	273880	0	273880	1.12	0		0	0	0	NA	273880
		7219	24453150	0	0	24453150	100.00	24453150		24453150	100	0	0.0000	0	0	0	0	24438435



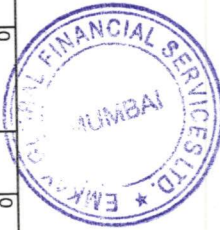
Emkay

Your success is our success

EMKAY GLOBAL FINANCIAL SERVICES LIMITED

For the Quarter ended 30th June, 2017
Table-II- Statement Showing Shareholding Pattern of the Promoter and Promoter Group

Category & Name of the Shareholders	PAN	No. of Shareholder	No of Fully Paid up Equity Shares held	No. of partly Paid up Equity Shares held	No. of shares underlying deposit or receipts	Total nos. shares held	Shareholding % calculates as per SCRR, 1957 as a % of (A+B+CZ)	Number of voting rights held in each class of securities - Equity Shares IX			No of shares underlying outstanding convertible securities (including a % of shareholding)	Shareholding as a % assuming full conversion of convertible securities (including a % of shareholding)	Number of locked in shares XII		Number of shares pledged or otherwise encumbered XIII	Number of equity shares held in dematerialized form
								Class X	Class Y	Total			No	As a % of total shares		
1	Indian															
a	Individuals/ Hindu Undivided Family		6	13747500		13747500	56.2198	13747500		13747500	56.2198		0	0	0	13747500
	Krishna Kumar Karwa	AACPK9149E	1	4922500		4922500	20.1303	4922500		4922500	20.1303	0	0	0	0	4922500
	Prakash Kacholia	AADPK7247C	1	4750000		4750000	19.4249	4750000		4750000	19.4249	0	0	0	0	4750000
	Preeti Kacholia	AADPK7245A	1	2125000		2125000	8.6901	2125000		2125000	8.6901	0	0	0	0	2125000
	Raunak Karwa	ACVPK2652Q	1	1750000		1750000	7.1565	1750000		1750000	7.1565	0	0	0	0	1750000
	Krishna Kumar Karwa (HUF)	AACHK2851D	1	100000		100000	0.4089	100000		100000	0.4089	0	0	0	0	100000
	Murlihar Karwa (HUF)	AABHM6186L	1	100000		100000	0.4089	100000		100000	0.4089	0	0	0	0	100000
b	Central Gov/State Gov		0	0		0	0.0000	0		0	0.0000	0	0	0	0	0
c	Financial Institution/Banks		0	0		0	0.0000	0		0	0.0000	0	0	0	0	0
d	Any Other		1	4150000		4150000	16.9712	4150000		4150000	16.9712					4150000
	Body Corporate															
	Emkay Corporate Services P. Ltd	AAACE0995M		4150000		4150000	16.9712	4150000		4150000	16.9712	0	0	0	0	4150000
	sub total (A)-(1)		7	17897500	0	17897500	73.1910	17897500		17897500	73.1910	0	0	0	0	17897500
2	Foreign		0	0		0	0.0000	0		0	0.0000	0	0	0	0	0



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Administrative Office: Paragon Centre, C-06, Ground Floor, Pandurang Budhkar Marg, Opp. Century Mills, Worli, Mumbai - 400 013. **Tel:** +91 22 6629 9299 **Fax:** +91 22 6629 9199
Registered Office: The Ruby, 7th Floor, Senapati Bapat Marg, Dadar (West), Mumbai - 400 028. **Tel:** +91 22 6612 1212 **Fax:** +91 22 6612 1299 www.emkayglobal.com **CIN - L67120MH1995PLC084899**

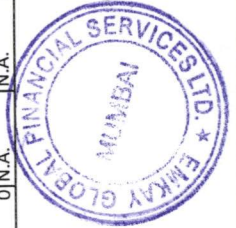


EMKAY GLOBAL FINANCIAL SERVICES LIMITED

For the Quarter ended 30th June, 2017

Table-III- Statement Showing Shareholding Pattern of the Public Shareholder

Category & Name of the Shareholders	PAN	No. of Shareholder	No of Fully Paid up Equity Shares held	partly Paid up Equity Shares held	No. of shares underlying depositary receipts	Total nos. shares held	Shareholding % as per SCRR,1957 as a % of (A+B+C2)	Number of voting rights held in each class of securities -Equity Shares				No to shares underlying outstanding convertible securities (including warrants)	Shareholding as a % assuming full conversion of convertible securities (as a % of diluted share capital)	Number of locked in shares		Number of shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form
								IX	X	XI	XII			XIII	XIV			
																class x	class Y	
I	II	III	IV	V	VI	VII	VIII	Total as a % of (A+B+C)				X	XI	No	As a % of total shares held	No	As a % of total shares held	
1			0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
a		0	0	0	0	0	0.0000	0	0	0	0	0	0	0	0	0	N.A.	N.A.
			0	0	0	0	0.0000	0	0	0	0	0	0	0	0	0	N.A.	N.A.
b			0	0	0	0	0	0	0	0	0	0	0	0	0	0	N.A.	N.A.
c			0	0	0	0	0	0	0	0	0	0	0	0	0	0	N.A.	N.A.
d		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	N.A.	N.A.
																		0
e		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	N.A.	N.A.
																	N.A.	N.A.
		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	N.A.	N.A.
f			1	131	0	0	131	0.0005	131	131	0.0005	0	0	0	0	0	N.A.	N.A.
		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	N.A.	N.A.
			0	0	0	0	0	0	0	0	0	0	0	0	0	0	N.A.	N.A.
g		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	N.A.	N.A.
		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	N.A.	N.A.



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e	Any Others	703	1792922	0	0	1792922	7.33207	1792922	1792922	1792922	7.3321	0	0	0	N.A.	N.A.	1782922
	Hindu Undivided Family	228	217124	0	0	217124	0.8879	217124	217124	217124	0.8879	0	0	0	N.A.	N.A.	217124
	Non Res. Ind. Non Repat.	45	236803	0	0	236803	0.9684	236803	236803	236803	0.9684	0	0	0	N.A.	N.A.	236803
	Non Res. Ind. Repat.	112	326259	0	0	326259	1.3342	326259	326259	326259	1.3342	0	0	0	N.A.	N.A.	326259
	Office Bearers	20	145885	0	0	145885	0.5966	145885	145885	145885	0.5966	0	0	0	N.A.	N.A.	145885
	clearing member	134	268229	0	0	268229	1.0969	268229	268229	268229	1.0969	0	0	0	N.A.	N.A.	268229
	other bodies corporate	164	598622	0	0	598622	2.4480	598622	598622	598622	2.4480	0	0	0	N.A.	N.A.	588622
	sub total (B) (3)	7210	6281639	0	0	6281639	25.6885	6281639	6281639	6281639	25.6885	0	0	0	N.A.	N.A.	6266924
	Total Public Shareholding	7211	6281770	0	0	6281770	25.6885	6281770	6281770	6281770	25.689	0	0	0	N.A.	N.A.	6267055
	B=(B)(1)+(B)(2)+(B)(3)														N.A.	N.A.	





EMKAY GLOBAL FINANCIAL SERVICES LIMITED

FOR THE QUARTER ENDED ON 30th June, 2017

Table-IV- Statement Showing Shareholding Pattern of the Non Promoter- Non Public Shareholding

Category & Name of the Shareholders	PAN	No. of Shareholder	No of Fully Paid up Equity Shares held	partly Paid up Equity Shares held	No. of shares underlying Depository Receipts	Total shares held	Shareholding % calculates as per SCRR, 1957 as a % of (A+B+C2)	Number of voting rights held in each class of securities -Equity Shares IX			No of shares underlying outstanding convertible securities	Total Shareholding as a % assuming full conversion of convertible securities	Number of locked in shares XII		Number of shares pledged or otherwise encumbered XIII		Number of equity shares held in dematerialized form
								No of Voting Rights	class X	class Y	Total		No	As a % of total shares held	No	As a % of total shares held	
I	II	III	IV	V	VI	VII	VIII					XI					XIV
1 Custodian/DR Holder		0	0	0	0	0	0	0	0	0	0	0	0	0	0	N.A.	0
2 Employee Benefit Trust (under SEBI (Share Based Employee Benefit) Regulations, 2014)		1	273880			273880	1.1200	273880									273880
Emkay Employees Welfare Trust	AAATE3866G	1	273880	0	0	273880	1.1200	273880					0	0	0	N.A.	273880
Total Non Promoter-Non Public Shareholding (C) = (C) (1) + (C) (2)		1	273880	0	0	273880	1.1200	273880					0	0	0	N.A.	273880

